

APPENDIX 1

BOGOR GOALS PROGRESS REPORT GUIDELINES

I. PURPOSE

The *Bogor Goals Progress Report Guidelines* will be implemented for reviewing 21 economies' progress towards achieving free and open trade and investment by 2020.

II. RATIONALE FOR A REVISED MECHANISM

In Montana, in May 2011, Senior Officials (SOM) endorsed recommendations prepared by the Committee of Trade and Investment (CTI) for a more robust mechanism to track progress towards the Bogor Goals. Background information can be found at: 2011/SOM2/021anx2.

III. BOGOR GOALS PROGRESS REPORT GUIDELINES

(a) Format of the Individual Action Plan (IAP)

- Economies will report on the *IAP Template* (annex 1) new trade and investment policies and procedures and the improvements planned for the remaining areas to fill the gap in moving closer to achieving the Bogor Goals. If policy settings remain the same from one reporting period to the next, then there is no need to collect and repeat the same information. 2010 economies¹ might give emphasis to those areas where shortcomings were highlighted in the *Leaders' Statement on 2010 Bogor Goals Assessment*.
- The areas to be reported will be based on the *Osaka Action Agenda* plus those added afterwards:
 - Tariffs
 - Non-tariff measures
 - Services
 - Investment
 - Standards and conformance
 - Customs procedures
 - Intellectual property
 - Competition policy
 - Government procurement
 - Deregulation/regulatory review
 - World Trade Organization (WTO) obligations including rules of origin
 - Dispute mediation
 - Mobility of business people
 - Official websites that gather economies' information
 - Transparency
 - Regional Trade Agreements / Free Trade Agreements (RTAs/FTAs)

¹ Economies assessed in 2010, on the achievement of the Bogor Goals: APEC industrialized economies: Australia, Canada, Japan, New Zealand, and the United States, and volunteer developing economies: Chile; Hong Kong, China; Korea; Malaysia; Mexico; Peru; Singapore and Chinese Taipei.

- Other voluntary reporting areas

- Economies will describe, in brief points only, significant new developments under each chapter heading. The information provided should be succinct but sufficient for the reader to understand the outline of the measure being described. Refer to the IAP reporting templates revised in 2004 together with *2000 APEC IAP Format Guidelines* as guidance for reporting on each issue area.
- Wherever possible entries should include a reference point (website, document, contact address, etc.) where further information can be obtained.

(b) Reporting from the Policy Support Unit

- The Policy Support Unit (PSU) will prepare a short one- to two-page report with key highlights on members' main achievements and remaining areas for improvement in the year of review. This would include information setting out the prevailing situation of trade and investment liberalization and facilitation of the economies, and use, where possible, indicators to facilitate the assessment. Economies would have the opportunity to examine the PSU's report ahead of the SOM discussion, preferably with a few months' leeway to ensure that each economy has sufficient time to review the report, make comments and highlight any factual errors, if any. Senior Officials will also have an opportunity to respond to the PSU's report at their meeting, and also pose questions to each other, before it is finalized and made public as an independent report by PSU.
- In response to ABAC's recommendation, the PSU will also prepare a "Dashboard" to complement the brief report abovementioned.

(c) IAP Peer Review Process

Regular Senior Officials' review

- Economies will be reviewed by Senior Officials in: 2012, 2014, and 2018. In each previous year, the CTI will table for SOM's endorsement the schedule to prepare the IAP submission and PSU report, taking into account the calendar for that APEC's year.
- For 2012, economies will submit their templates by SOM1, February 2012. Senior Officials will discuss the brief reports prepared by the PSU by SOM2, June/July 2012.

Second-term review

- Economies will be assessed in 2016, as a second term review.
- 2010 economies might give emphasis to those areas where shortcomings were highlighted in the Leaders' Statement on 2010 Bogor Goals Assessment.
- The host economy in 2016 supported by the APEC Secretariat and the PSU will lead the assessment based on the information provided in the *IAP Template*, and also information setting out the prevailing situation of trade and investment liberalization and facilitation of the economies, and use, where possible, indicators to facilitate the assessment.

- The APEC Secretariat and the PSU could complement this assessment by using, where appropriate, data from the World Trade Organization, International Monetary Fund and other respectable sources, considering that data could be available for tariffs, but similar information for non-tariff measures, services and investment might be less visible.

Final Assessment

- In 2020, economies will undertake a final assessment toward the achievement of the Bogor Goals.
- The template prepared by Japan in 2010 could be used as the basis for the evaluation, to be consistent as much as possible with the 2010 assessment.
- The host economy in 2020 supported by the APEC Secretariat, the PSU will lead the assessment based on the individual IAP reports and information provided in the abovementioned templates.
- The APEC Secretariat and the PSU could complement this assessment by using, where appropriate, data from the World Trade Organization or other international organizations.

(d) Public Access to the IAP Information

- The APEC Secretariat and the PSU would make the APEC-IAP website more user-friendly as well as improve its functionality, among other measures: i) the *IAP Template* might be divided by chapters, so users can download only the section of their interest; and ii) to include a point of contact in the APEC Secretariat to attend requests for further information. Additionally, visits to the website could be tracked.
- The IAP Template submitted by economies and the report prepared by the PSU will be made publicly available on the internet.

Individual Action Plan Update for [Economy] for [Year/s]
<i>Highlights of recent policy developments which indicate how [economy] is progressing towards the Bogor Goals and key challenges it faces in its efforts to meet the Goals.</i>

IAP Chapter (and Sub-Chapter and Section Heading, if any)	Improvements made since [Year] IAP	Further Improvements Planned
<i>Tariffs</i>	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
<i>Non-Tariff Measures</i>	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
<i>Services</i>	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
<i>Investment</i>	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
<i>Standards and Conformance</i>	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
<i>Customs Procedures</i>	<i>Provide brief points only</i>	<i>Provide brief points only</i>

IAP Chapter (and Sub-Chapter and Section Heading, if any)	Improvements made since [Year] IAP	Further Improvements Planned
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Intellectual Property Rights	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Competition Policy	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Government Procurement	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Deregulation/Regulatory Review	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Implementation of WTO Obligations/ROOs	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Dispute Mediation	<i>Provide brief points only</i>	<i>Provide brief points only</i>

IAP Chapter (and Sub-Chapter and Section Heading, if any)	Improvements made since [Year] IAP	Further Improvements Planned
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Mobility of Business People	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Official websites that gather economies' information	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		
Transparency	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		

RTAs/FTAs	
- Description of current agreements	<i>Please use Part 1 of the RTA/FTA reporting template to provide a short description or hyperlinks to any new agreements and to report improvements to existing agreements.</i>
- Agreements under negotiation	<i>Please provide information on agreements that are currently under negotiation eg issues being covered in the negotiation and the status of the negotiation.</i>
Agreement #1	
Agreement #2	

Agreement #3	
Agreement #4	
Agreement #5	
Agreement #6	
Agreement #7	
Agreement #8	
Agreement #9	
Agreement #10	
Agreement #11	
Agreement #12	
Agreement #13	
Agreement #14	

	Agreement #15	
	Agreement #16	
	Agreement #17	
	Agreement #18	
	Agreement #19	
	Agreement #20	
	- Future plans	
	<i>Website for further information:</i>	
	<i>Contact point for further details:</i>	
Other voluntary reporting areas	<i>Provide brief points only</i>	<i>Provide brief points only</i>
<i>Website for further information:</i>		
<i>Contact point for further details:</i>		